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UC1002.001A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baerveldt, et al. ) Group Art Unit 3761  
App. No. : 10/052,473 )  
Filed : January 18, 2002 )  
For : MINIMALLY INVASIVE GLAUCOMA )  
SURGICAL INSTRUMENT AND )  
METHOD )  
Examiner : Unknown )

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

Enclosed is form PTO-1449 listing six (6) references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 25, 2002

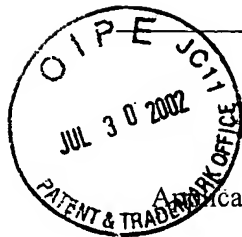
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3761

PATENT

Case Docket No. UC1002.001A

Date: July 25, 2002



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Applicant(s) : Baerveldt, et al.  
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 Filed : January 18, 2002  
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 INSTRUMENT AND METHOD  
 Examiner : Unknown  
 Group Art Unit : 3761

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Jennifer Hayes, Reg. No. 50,845

## TRANSMITTAL LETTER

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 P.O. Box 2327  
 Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with six (6) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>SUPPLEMENTAL          INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
	APPLICANT Baerveldt, et al.	
	FILING DATE January 18, 2002	GROUP 3761

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER & TRADEMARK OFFICE	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,559,942	12/24/85	Eisenberg			
	2	4,846,172	07/11/89	Berlin			
	3	5,123,902	06/23/92	Müller, et al.			
	4	5,129,895	07/14/92	Vassiliadis, et al.			
	5	5,688,261	11/18/97	Amirkhanian, et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	6	WO 99/51156	10/14/99	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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EXAMINER	DATE CONSIDERED
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